



**Session 3: Specific Topics for Title IX Coordinators** 

Presented by: Richard F. Verstegen



# CareerLearning

Title IX Coordinator Certification Higher Education Session 3: Specific Topics for Title IX Coordinators

Presented by: Rick Verstegen



#### **Specific Topics**

- Athletics
- Pregnancy
- Employment
- LGBTQ

#### **Policy Considerations**

Conclusion

### Title IX

### Title IX regulations

- "The purpose of this part is to effectuate title IX of the Education Amendments of 1972... which is designed to eliminate (with certain exceptions) discrimination on the basis of sex in any education program or activity receiving Federal financial assistance..." 34 C.F.R. s. 106.1.
- Other regulations found under 34 C.F.R. part 106.





### **Poll Question**

- Have you had to address any issues with discrimination in athletics within the last 5 years?
  - Yes, dealing with lack of sports based on student interests
  - Yes, dealing with financial issues
  - Yes, dealing with other issues
  - Other







#### Regulations

- The regulations implementing Title IX contain specific provisions relating to athletic opportunities.
- "No person shall, on the basis of sex, be excluded from participation in, be denied the benefits of, be treated differently from another person or otherwise be discriminated against in any interscholastic, intercollegiate, club or intramural athletics offered by a recipient, and no recipient shall provide any such athletics separately on such basis." 34 C.F.R. s. 106.41(a)

#### Regulations – separate teams

- Notwithstanding the requirements of paragraph (a) of this section, a recipient may operate
  or sponsor separate teams for members of each sex where selection for such teams is
  based upon competitive skill or the activity involved is a contact sport.
- However, where a recipient operates or sponsors a team in a particular sport for members of one sex but operates or sponsors no such team for members of the other sex, and athletic opportunities for members of that sex have previously been limited, members of the excluded sex must be allowed to try-out for the team offered unless the sport involved is a contact sport.
- For the purposes of this part, contact sports include boxing, wrestling, rugby, ice hockey, football, basketball and other sports the purpose or major activity of which involves bodily contact. 34 C.F.R. s. 106.41(b)



- Regulations
   – equal opportunity
  - A recipient which operates or sponsors interscholastic, intercollegiate, club, or intramural athletics shall provide equal athletic opportunity for members of both sexes. 34 C.F.R. s. 106.41(c).
  - In determining whether equal opportunities are available the Director will consider, among other factors:
    - Whether the selection of sports and levels of competition effectively accommodate the interests and abilities of members of both sexes;
    - 2. The provision of equipment and supplies;
    - 3. Scheduling of games and practice time;



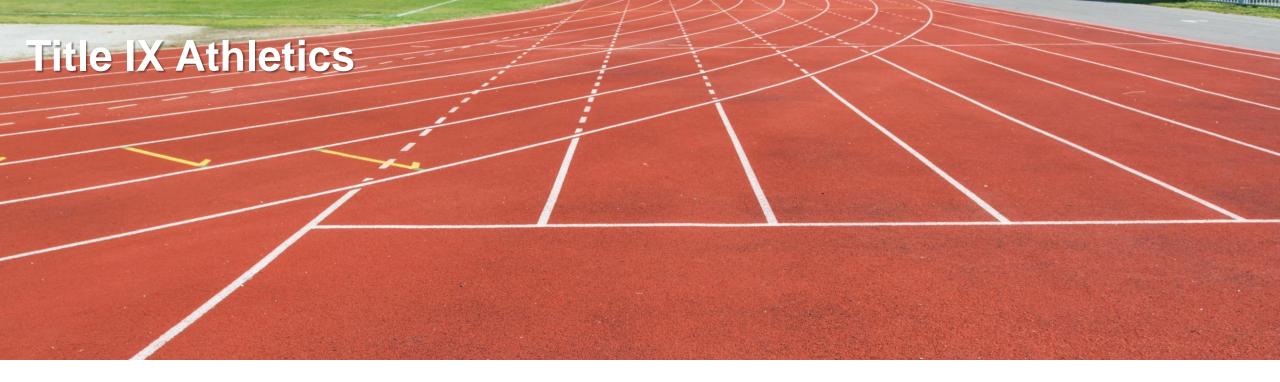


- Regulations— equal opportunity
  - Equal opportunity
  - In determining whether equal opportunities are available the Director will consider, among other factors:
    - 4. Travel and per diem allowance;
    - 5. Opportunity to receive coaching and academic tutoring;
    - 6. Assignment and compensation of coaches and tutors;
    - Provision of locker rooms, practice and competitive facilities;
    - 8. Provision of medical and training facilities and services;
    - 9. Provision of housing and dining facilities and services;
    - 10. Publicity.

- The Department's Title IX regulations
   prohibit sex discrimination in interscholastic,
   intercollegiate, club, or intramural athletics
   offered by a recipient institution, including
   with respect to:
  - Student interests and abilities;
  - Athletic benefits and opportunities; and
  - Athletic financial assistance.



- Three-part test
  - Under the three-part test, an institution must meet at least one of three benchmarks:
    - Whether participation opportunities for male and female students are provided in numbers substantially proportionate to their respective enrollments; or
    - 2. Where the members of one sex have been and are underrepresented among athletes, whether the institution can show a history and continuing practice of program expansion which is demonstrably responsive to the developing interests and abilities of the members of that sex; **or**



#### Three-part test

3. Where the members of one sex are underrepresented among athletes, and the institution cannot show a history and continuing practice of program expansion, as described above, whether it can be demonstrated that the interests and abilities of the members of that sex have been fully and effectively accommodated by the present program.



- Part one— substantial proportionality
  - Where an institution provides athletic participation opportunities for male and female students in numbers substantially proportionate to their respective full-time student enrollments, OCR will find that the institution is providing nondiscriminatory participation opportunities for individuals of both sexes.
  - This part of the test establishes a safe harbor for institutions that have distributed athletic opportunities in numbers substantially proportionate to the gender composition of their student bodies.

#### Part one— substantial proportionality

- Analysis:
  - First, determine the number of participation opportunities afforded to male and female athletes in the school's athletic program.
  - Second, determine whether athletic opportunities are substantially proportionate.
  - OCR recognizes that exact proportionality is not required in order to satisfy this test. Disparities are acceptable where they result from modest fluctuations in enrollment patterns.



- Part two– history of program expansion
  - OCR finds compliance where an institution can show a history and continuing practice of program expansion which is demonstrably responsive to the developing interests and abilities of the members of that sex.
  - This test is satisfied where an institution is continually expanding athletic opportunities in an ongoing effort to meet the needs of the underrepresented gender, and persists in this approach as interest and ability levels in its student body rise.

- Part two
   history of program expansion
  - OCR will review the entire history of the athletic program, focusing on the participation opportunities provided for the underrepresented sex.
  - To meet the requirements, it is not necessary to show annual or constant efforts, but only continuing efforts of program expansion.



- Part three– accommodating interests/abilities
  - This part considers whether there are concrete and viable interests among the underrepresented sex that should be accommodated.
  - An institution can satisfy part three where there is evidence that the imbalance does not reflect discrimination.



### Part three- accommodating interests/abilities

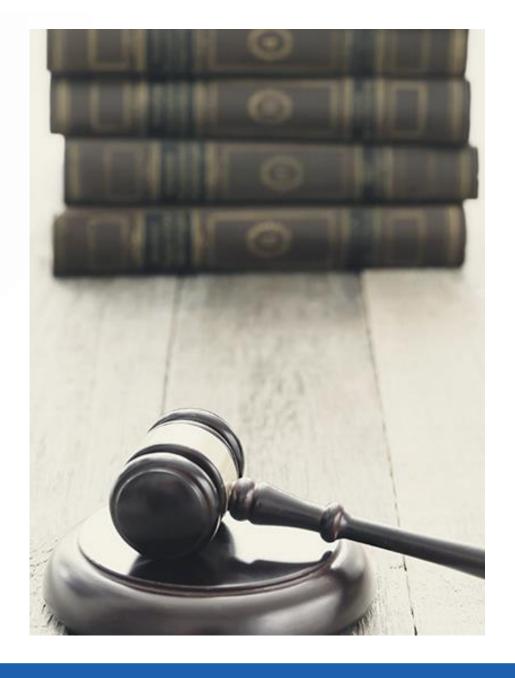
- To ascertain whether the interests of students are being fully and effectively accommodated, OCR will consider whether there is:
  - 1. Is there unmet interest in a particular sport?
  - 2. Is there sufficient ability to sustain a team in the sport?
  - 3. Is there a reasonable expectation of competition for the team?
- If all of these conditions are met, the Department of Education will find that an institution has not fully and effectively accommodating the interests and abilities of the underrepresented sex.



- Benefits and opportunities
  - In determining whether an institution is providing equal opportunity in athletics, the regulations require the Department to consider, among others, the following factors (a.k.a. the "laundry list"):
    - The provision of equipment and supplies;
    - Scheduling of games and practice time;
    - 3. Travel and per diem allowances;
    - 4. Opportunity for coaching and academic tutoring;
    - Assignment and compensation of coaches and tutors;
    - 6. Provision of locker rooms, and practice and competitive facilities;

#### Benefits and opportunities

- In determining whether an institution is providing equal opportunity in athletics, the regulations require the Department to consider, among others, the following factors (a.k.a. the "laundry list"):
  - Provision of medical and training facilities and services;
  - 8. Housing and dining services;
  - 9. Publicity;
  - 10. Recruitment; and
  - 11. Support services.





- Nondiscriminatory justifications
  - Sports that require more resources because of the nature of the game (i.e., football = \$\$\$\$)
  - Special circumstances, like a surge in demand
  - Special operational expenses, such as tournaments

#### Scheduling

- OCR will evaluate the scheduling of the athletic program as a whole.
- Five factors for consideration:
  - The number of competitive events offered per sport
  - 2. The number and length of practices
  - 3. Time of day competitive events are scheduled
  - 4. Time of day practices are scheduled
  - Number of pre-season and post-season competitive opportunities





- Coaching
  - Three factors to compare:
    - Availability of coaches and volunteer assistants
    - Assignment of coaches
    - Compensation
- Coaching equivalency is evaluated as a whole and not by comparing the boys' and girls' coaches in each sport.



- Locker rooms and facilities
  - Compliance factors for locker room, practice, and competition facilities:
    - The quality and availability of the facilities provided for practice and competitive events;
    - Exclusivity of use of facilities for practice and competitive events;
    - Availability of locker rooms;
    - Quality of locker rooms;
    - Maintenance of practice and competitive facilities; and
    - Preparation of facilities for practice and competitive events.

- Additional resources
  - For more information about the obligation to provide equal athletic opportunities and to effectively accommodate students' athletic interests and abilities, please review:
    - Dear Colleague Letter: Part Three of the Three-Part Test (April 20, 2010), available at <a href="http://www.ed.gov/ocr/letters/colleague-20100420.html">http://www.ed.gov/ocr/letters/colleague-20100420.html</a>;
    - Dear Colleague Letter: Athletic Activities Counted for Title IX Purposes (September 17, 2008), available at <a href="http://www.ed.gov/ocr/letters/colleague-20080917.pdf">http://www.ed.gov/ocr/letters/colleague-20080917.pdf</a>



#### Additional resources

- For more information about the obligation to provide equal athletic opportunities and to effectively accommodate students' athletic interests and abilities, please review:
  - Dear Colleague Letter: Further Clarification of Intercollegiate Athletics Policy Guidance (July 11, 2003), available at <a href="http://www.ed.gov/ocr/title9guidanceFinal.html">http://www.ed.gov/ocr/title9guidanceFinal.html</a>;
  - Dear Colleague Letter: Clarification of Intercollegiate Athletics Policy Guidance: The Three-Part Test (January 16, 1996), available at <a href="http://www.ed.gov/ocr/docs/clarific.html">http://www.ed.gov/ocr/docs/clarific.html</a>; and
  - Title IX Policy Interpretation: Intercollegiate Athletics (December 11, 1979), available at <a href="http://www.ed.gov/ocr/docs/t9interp.html">http://www.ed.gov/ocr/docs/t9interp.html</a>.





### **Poll Question**

- Have you had to address any issues with discrimination in pregnancy within the last 5 years?
  - Yes, dealing with harassment
  - Yes, dealing with discrimination
  - Yes, dealing with both harassment and discrimination
  - Other





# Title IX- Pregnancy

#### General requirements

- Title IX prohibits generally discrimination on the basis of sex in education programs and activities.
- Title IX regulations specifically prohibit discriminating against any student based on pregnancy, childbirth, false pregnancy, termination of pregnancy, or recovery from any of these conditions.
- Title IX regulations also prohibits a school from applying any rule related to a student's parental, family, or marital status that treats students differently based on their sex.





# Title IX— Pregnancy

- **General requirements** 
  - Title IX prohibits discrimination on the basis of sex in many aspects within education.
    - Programs and services
    - Employment
- Title IX's focus has been on not making certain stereotypes of pregnant students or employees within education.



# Title IX- Pregnancy

### General requirements

- Title IX regulations also emphasize the need to treat certain pregnant students similar to students with temporary disabilities.
- In this respect, certain accommodations may need to be provided to students who are pregnant.





### OCR guidance

- On June 25, 2013, the Office for Civil Rights (OCR) issued a Dear Colleague Letter on pregnancy and parenting discrimination.
- The Department of Education also issued Supporting the Academic Success of Pregnant and Parenting Students in June 2013.

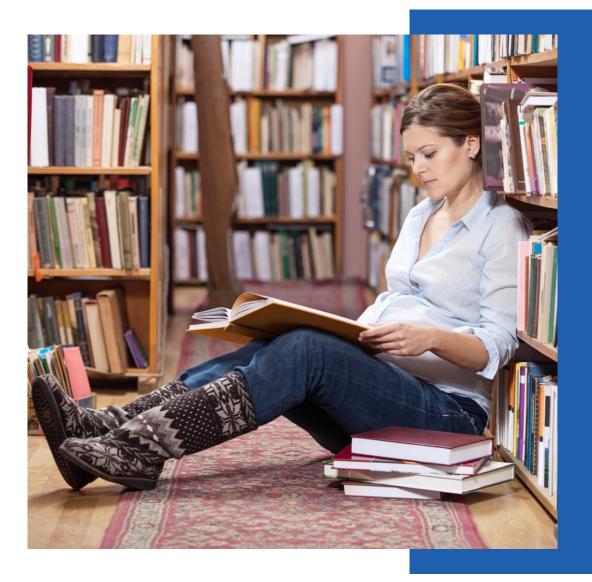


## **Title IX Pregnancy**

#### OCR guidance

- Focus on concerns with 16 through 24-year-lolds who were not enrolled in high school and had not earned a high school diploma or alternative credential
- Students give a range of reasons for dropping out of high school, both school-and family-related
- Pregnancy is consistently the most common family-related reason given by female students.





# Title IX— Pregnancy

- OCR guidance
  - Title IX guidance details how the law applies to a range of specific educational activities and policies that affect pregnant and parenting students, including:
    - Class and school activities;
    - Excused absences and medical leave;
    - Accommodations;
    - Harassment; and
    - Policies and procedures.



# **Title IX Pregnancy**

#### Regulations

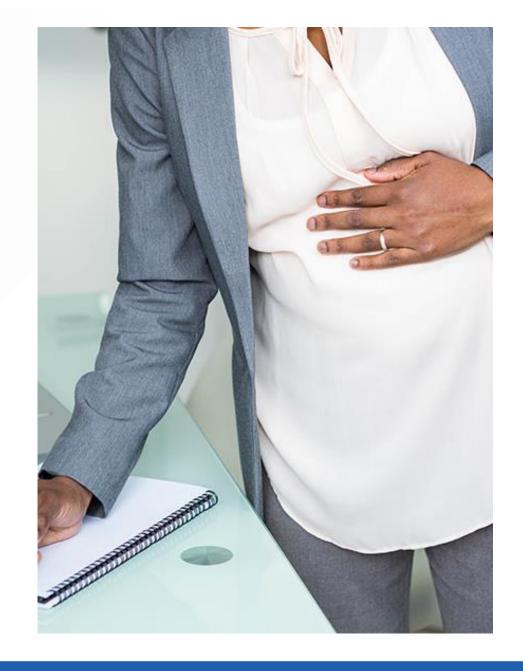
- An educational institution in providing any aid, benefit, or service to a student, may not, on the basis of sex:
  - Treat one student differently from another in determining whether the student satisfies any requirement or condition for the provision of any aid, benefit, or service;
  - Provide different aid, benefits, or services or provide aid, benefits, or services in a different manner;
  - Deny any student such aid, benefit, or service;
  - Subject students to separate or different rules of behavior, sanctions, or other treatment; or
  - Otherwise limit any student in the enjoyment of any right, privilege, advantage, or opportunity.

34 C.F.R. s. 106.31.



#### Regulations

- Title IX regulations expressly prohibit using parental status as a means of discriminating on the basis of sex in programs and activities. 34 C.F.R. s. 106.40.
  - General rule: An educational institution shall not apply any rule concerning a student actual or potential parental, family, or marital status which treats students differently on the basis of sex.





#### Regulations

A recipient shall not discrimination against any student, or exclude any student from its education program or activity, including any class or extracurricular activity, on the basis of such student's pregnancy, childbirth, false pregnancy, termination of pregnancy or recovery therefrom, unless the student requests voluntarily to participate in a separate portion of the program or activity of the recipient. 34 C.F.R. s. 106.40(b)(1)



#### Regulations

- A recipient may require such a student to obtain certification of a physician that the student is physically and emotionally able to continue participation, so long as such certification is required of all students for other physical or emotional conditions requiring the attention of the physician. 34 C.F.R. s. 106.40(b)(2).
- A recipient which operates a portion of its education program or activity separately for pregnant students, admittance to which is completely voluntary on the part of the student as provided in paragraph (b)(1) of this section shall ensure that the separate portion is comparable to that offered to non-pregnant students. 34 C.F.R. s. 106.40(b)(3).



- Under Title IX, it is illegal for schools to exclude a pregnant student from participating from any part of an educational program or activity.
- This applies to specific classes, extracurricular activities, honor societies, and other activities.
- Educational institutions must allow students to continue to participate in classes even though they are pregnant.

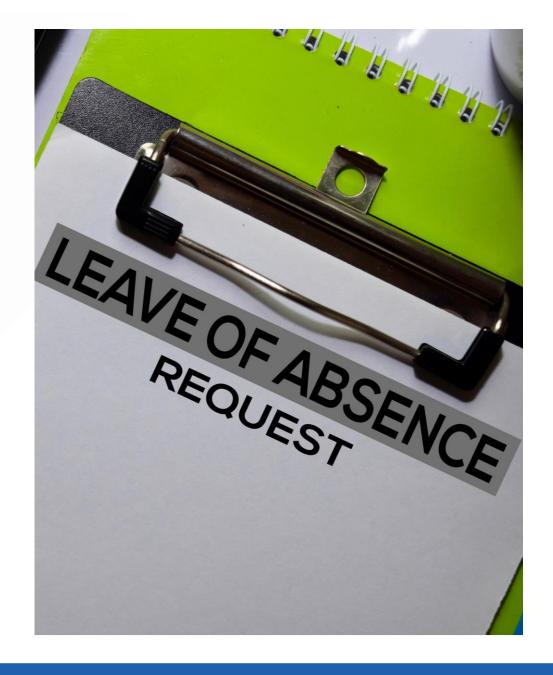


- Educational institutions can allow students to choose whether to participate in special instructional programs or classes for pregnant students. Students can participate if they want to, but schools cannot pressure them to do so.
- Any alternative program must provide the same types of academic opportunities as the institution's regular program.
- Educational institutions must allow students to participate even though they
  are pregnant and not require them to submit a doctor's note.

- To ensure a pregnant student's access to its educational program, when necessary, an educational institution must make adjustments to the regular program that are reasonable and responsive to the student's temporary pregnancy status.
- Educational institutions must provide pregnant students with the same special services it provides to students with temporary medical conditions.
- This includes at-home tutoring or independent study.

- Educational institutions may require a pregnant student or student who has given birth to submit medical certification for school participation but only if the school also requires such certification from all student with physical or emotional conditions requiring the attention of a physician.
- Schools should not presume that a pregnant student is unable to attend school or participate in school.

- Excused absences and medical leave
  - In the case of a recipient which does not maintain a leave policy for its students, or in the case of a student who does not otherwise qualify for leave under such a policy, a recipient shall treat pregnancy, childbirth, false pregnancy, termination of pregnancy and recovery therefrom as a justification for a leave of absence for so long a period of time as is deemed medically necessary by the student's physician, at the conclusion of which the student shall be reinstated to the status which she held when the leave began. 34 C.F.R. 106.40(b)(5).







- Excused absences and medical leave
  - Educational institutions must excuse absences due to pregnancy and childbirth for as long as the doctor deems the absences medically necessary.
  - Educational institutions must allow the student to return to the same academic status as before the student's medical leave began, which should include giving students the opportunity to make up any work missed while the student was out.
  - Other options may also be offered, such as retaking a semester.



- Pregnancy and athletics
  - NCAA has provided significant guidance on this issue.
  - See NCAA Pregnant and Parenting
    Student-Athletes, Resources and
    Model Policies.
    <a href="http://www.ncaa.org/about/resources/inclusion/pregnant-parenting-student-athletes">http://www.ncaa.org/about/resources/inclusion/pregnant-parenting-student-athletes</a>



#### Relevant cases

- Ivan v. Kent State University, 863 F.Supp. 581 (concluding that the university articulated legitimate reasons to overcome any claim of discrimination).
- Varlesi v. Wayne State University, Case No. 14-1862 (affirming a jury award of nearly \$850,000 after finding discrimination against a pregnant student).
- Stewart v. City University of New York (2013) (settlement after an administrative complaint after allegations that the university refused to allow her to make up assignments).
- Kostal v. Logan University (2013) (settlement after an administrative complaint allegations that the university refused to allow her to make up work).

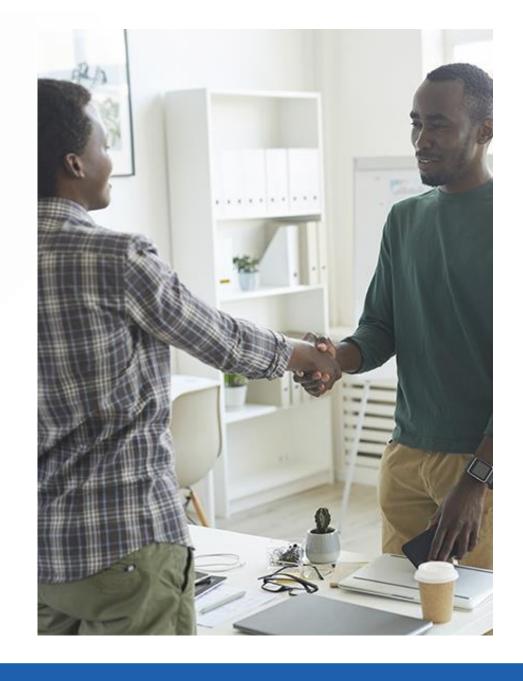


- Additional resources
  - Guidance: Strategies to Assist Educators in Supporting Pregnant and Parenting Students
    - Administrators, Teachers, Counselors.
- Guidance: Programs Designed to Support Pregnant and Parenting Students
  - Prenatal, Parenting, and Life Skills Programs
  - Child Care and Early Learning Programs
  - Dropout Prevention Programs



#### Employment

- In recruitment, hiring, rates of pay, job assignments, benefits, and other terms or conditions of employment, an educational institution may not discriminate against persons on the basis of sex. 34 C.F.R. s. 106.51.
- Recruitment. 34 C.F.R. s. 106.53.
- Compensation. 34 C.F.R. s. 106.54.
- Job classification and structure. 34 C.F.R. s. 106.55.



#### Employment

- Fringe Benefits. 34 C.F.R. s. 106.56.
- Advertising. 34 C.F.R. s. 106.59.
- Pre-employment Inquiries. 34 C.F.R. s. 106.60.
- Sex as bona-fide occupational qualification. 34 C.F.R. s. 106.61.



- Employment and status
  - Under 34 C.F.R. s. 106.57, an educational institution shall not apply any policy or take any employment action:
    - Concerning the potential marital, parental, or family status of an employee or applicant for employment which treats persons differently on the basis of sex; or
    - Which is based upon whether an employee or applicant for employment is the head of household or principal wage earner in such employee's or applicant's family unit.

#### Employment and status

- Pregnancy as a Temporary Disability. An educational institution shall treat pregnancy, childbirth, false pregnancy, termination of pregnancy and recovery therefrom and any temporary disability resulting therefrom as any other temporary disability for all job related purposes, including commencement, duration and extensions of leave, payment of disability income, accrual of seniority and any other benefit or service, and reinstatement, and under any fringe benefit offered to employees by virtue of employment.
- 34 C.F.R. s. 106.57(c).

### **Poll Question**

- Have you had to address issues with discrimination within LGBTQ students within the last 5 years?
  - Yes, dealing with harassment
  - Yes, dealing with discrimination
  - Yes, dealing with both harassment and discrimination
  - Other



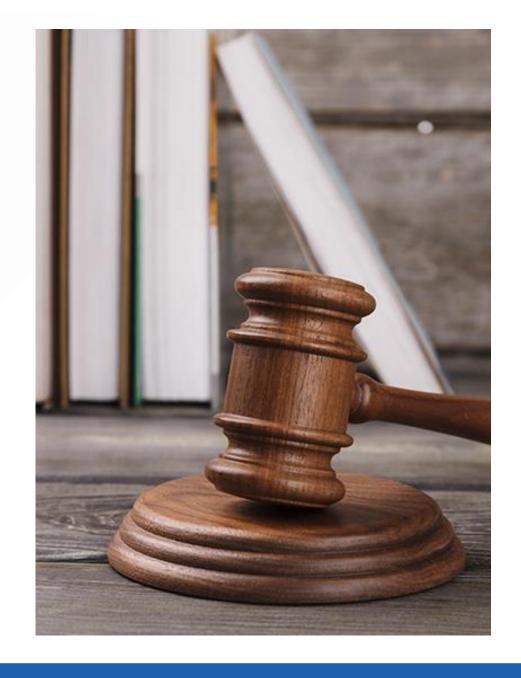




- Students and LGBTQ
  - On February 22, 2017 the Department of Justice and the Department of Education rescinded their respective prior guidance on gender identity issues. The Department of Justice and the Department of Education withdrew the statements of policy and guidance.
  - DOJ Dear Colleague Letter February 22, 2017

- On June 15, 2020, the U.S. Supreme Court held that discrimination on the basis of an individual's status as homosexual or transgender constitutes sex discrimination within the meaning of Title VII of the Civil Rights Act of 1964. See <u>Bostock v. Clayton Cty., Ga., 140 S. Ct. 1731, 1741 (2020)</u>.
- The Supreme Court held: "[I]t is impossible to discriminate against a person for being homosexual or transgender without discriminating against that individual based on sex."

- In 2017, the Seventh Circuit decided an appeal from the U.S. District Court for the Eastern District of Wisconsin. Whitaker by Whitaker v. Kenosha Unified Sch. Dist. No. 1 Bd. of Educ., 858 F.D.A. 1034, 1039-42 (7th Cir. 2017).
- The Whitaker case involved the following topics:
  - Restroom use,
  - Title IX, and
  - The Equal Protection Clause.



- Fourth Circuit Court of Appeals decided that restroom policies segregating transgender students and denying transgender students accurate transcripts are unconstitutional and violate Title IX. Grimm v. Gloucester, 972 F.3d 586 (4th Cir. 2020).
- The District argued that it had the ability to create sex-segregated restrooms and that the act in and of itself isn't discriminatory. The court stated that while the act of creating the sex-segregated restrooms may not have been discriminatory – the school board's notion of what "sex" means was discriminatory.



- Students and LGBTQ
  - Biden Executive Order
    - The Executive Order makes the policy statement that all persons should receive equal treatment under the law without regard to their gender identity or sexual orientation.
    - The Order bases these policy statements in Title VII of the Civil Rights Act of 1964 and the Supreme Court's recent ruling on that law, Bostock v. Clayton County.

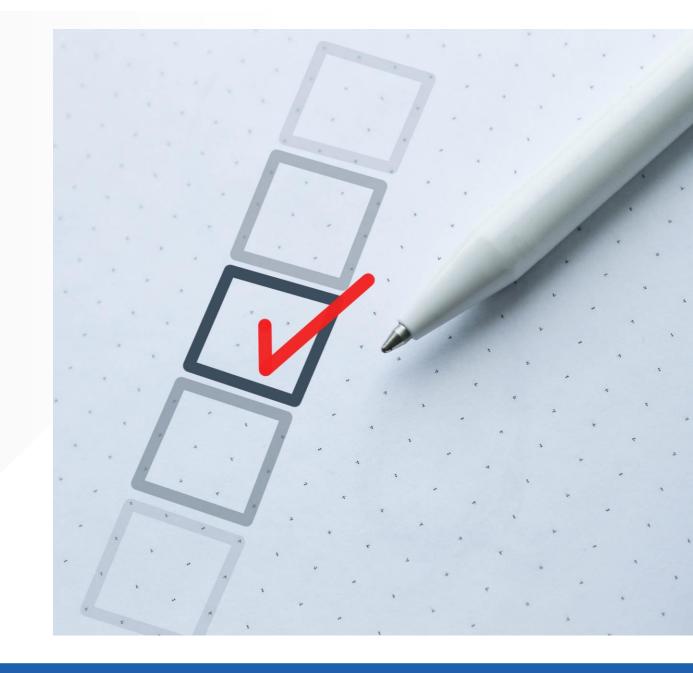
- Safest route is to assume that students who are transgender are protected under Title IX and to work with these students on an individual basis to accommodate them with respect to the use of restrooms and locker rooms, preferred names and pronouns, school-sponsored activities, and athletics
- In responding to requests from students who are transitioning or transgender, an institution should consider: (1) institution policies and procedures; (2) how to maintain student confidentiality; (3) how to make the institution's facilities accessible for the student; and (4) possible harassment/retaliation.





## Conclusion

- Need to consider various areas
- Need to identify policies
- Need to ensure staff is trained in various areas





# **Questions?**



**Boardman & Clark, LLP** 



https://www.boardmanclark.com



(608) 286-7233



rverstegen@boardmanclark.com



#### **Disclaimer of Warranties**

While our certification and webinar programs are designed to provide participants with the skills and knowledge necessary to improve employability and career performance, ultimately the success of a certification or webinar program comes down to the background, practical experience, ability and (especially) commitment of each participant. Because these qualities vary, we are unable to provide universal warranties, representations or guarantees (collectively "Warranties") that a particular certification or certification program or webinar will result in required legal or regulatory compliance, job placement advantages, career opportunities, or overall attainment of career goals. And while our Programs (as defined below) are designed, written and presented to be as accurate and authoritative as possible, we do not provide medical, legal, accounting or other professional services or advice, and our Programs may not be relied upon as such. The participant ("you") remains solely responsible for compliance with all applicable laws, regulations, accounting standards, and official health guidelines.

Therefore, you agree that you purchase, participate in, use, and rely on ("your Use") our certifications, certification programs, webinars, presentations, commentaries, products, services, and materials (collectively, our "Programs") at your own risk. Our Programs are provided "as is" without any express or implied Warranties of any kind, including any Warranties of merchantability, fitness for a particular purpose, or non-infringement. We provide no Warranties, and you agree by your Use not to rely on any Warranties, that our Programs are free of errors or omissions. As part of the consideration given in connection with being allowed to participate in our Programs, and by your Use of our Programs, you (a) release us from all claims for damages arising or alleged to have arisen from your Use of our Programs, (b) agree that in no event will we be liable for any direct, indirect, special, or consequential damages arising or alleged to have arisen out of your Use of our Programs, and (c) you further agree that any damages you may be awarded against us notwithstanding the foregoing limitations of liability will be limited to the amount, if any, you paid for the Program resulting in or alleged to have resulted in your damages.

